## Lecture 2 Six-vertex model

# A mini-course "Solvable lattice models and Bethe Ansatz" (Ariel University, spring 2021) 

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The 'ice model' ( - is Oxygen, $\circ$ is Hydrogen):


Each oxygen atom has two hydrogen atom next to it.


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$$
\begin{aligned}
-\infty & =\rightarrow \\
\dot{\infty} & =\rightarrow \\
0 & =\uparrow \\
\dot{0} & =\downarrow
\end{aligned}
$$

The 'ice model' ( - is Oxygen, $\circ$ is Hydrogen):


Each oxygen atom has two hydrogen atom next to it. Small arrows on the right figure define the orientation of the lattice lines and vertices, which will be important later.

$$
\begin{array}{r}
-\infty=\stackrel{+}{\infty}=\stackrel{-}{+}=+\downarrow \\
\{=\uparrow=+\downarrow
\end{array}
$$

## Ice model: Boltzmann weights

Six-vertex model: the Boltzmann weights are associated with vertices:

$$
Z=\sum_{\substack{\text { configu- vertices } \\ \text { rations }}} \prod_{\varepsilon_{1} \varepsilon_{2}}^{\varepsilon_{1}^{\prime} \varepsilon_{2}^{\prime}}, \quad R_{\varepsilon_{1} \varepsilon_{2}}^{\varepsilon_{1}^{\prime} \varepsilon_{2}^{\prime}}=\varepsilon_{2} \Vdash_{\varepsilon_{1}}^{\varepsilon_{1}^{\prime}} \varepsilon_{2}^{\prime}, \quad \frac{\varepsilon_{1}^{\prime}+\varepsilon_{2}^{\prime}=\varepsilon_{1}+\varepsilon_{2}}{\text { Ice condition }} .
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We have six vertex configurations

$$
\begin{aligned}
& R=\left(\begin{array}{cccc}
a & & & \\
& b & c & \\
& c^{\prime} & b^{\prime} & \\
& & & a^{\prime}
\end{array}\right) \text { in the basis }(++),(+-),(-+),(--) .
\end{aligned}
$$

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| $+$ | $+^{+}$ | $+^{+}$ | - | + |
| :---: | :---: | :---: | :---: | :---: |
| $+$ | $+^{+}$ | $+$ | $c_{+}^{\prime}+$ | $C$ + + |
| $+$ | $+^{+}$ | - | $+^{+}$ | + |
|  | - | $c_{+}^{\prime}+$ | ${ }_{+}^{+}+$ | - |
|  | $+$ | $c+$ | - | $c^{\prime}$ |

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| $+_{+}^{+}$ | + ${ }^{+}$ |  | + ${ }^{+}$ |  |
|  | - | $c_{+}^{\prime}+$ | ${ }^{c}+$ |  |
|  |  | $c_{+}$ |  | $c^{\prime}$ |

You see that the number of $c$ and $c^{\prime}$ is equal. Since the signs "-" can be organized in such paths and these paths must be closed on the torus, this will be valid for all configurations.

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| :---: | :---: | :---: | :---: | :---: |
| + | + ${ }^{+}$ | + | $c_{+}^{\prime}+$ | ${ }^{c}$ |
| $+$ | + | - | $+^{+}$ | + |
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It turns out that the model is exactly solvable, if $b^{\prime}=b$. If also $a^{\prime}=a$ it admits spontaneous symmetry breaking. Below we will just consider this case. We will see that the solution for $a^{\prime} \neq a$ is also based on the symmetric case.

We will consider the symmetric six-vertex model:

$$
R_{-\varepsilon_{1}-\varepsilon_{2}}^{-\varepsilon_{1}^{\prime}-\varepsilon_{2}^{\prime}}=R_{\varepsilon_{1} \varepsilon_{2}}^{\varepsilon_{1}^{\prime} \varepsilon_{2}^{\prime}}
$$

or

$$
a^{\prime}=a, \quad b^{\prime}=b \quad c^{\prime}=c
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The transfer matrix

$$
\begin{equation*}
T_{\varepsilon_{1} \ldots \varepsilon_{N}}^{\varepsilon_{1}^{\prime} \ldots \varepsilon_{N}^{\prime}}=\sum_{\mu_{1} \ldots \mu_{N}} R_{\mu_{1} \varepsilon_{1}}^{\mu_{2} \varepsilon_{1}^{\prime}} R_{\mu_{2} \varepsilon_{2}}^{\mu_{3} \varepsilon_{2}^{\prime}} \ldots R_{\mu_{N} \varepsilon_{N}}^{\mu_{1} \varepsilon_{N}^{\prime}} . \tag{1}
\end{equation*}
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\end{equation*}
$$

Let us consider the matrix $R$ as an operator in the tensor product of two twodimensional spaces:

$$
R: \mathbb{C}^{2} \otimes \mathbb{C}^{2} \rightarrow \mathbb{C}^{2} \otimes \mathbb{C}^{2}, \quad v_{\varepsilon_{1}} \otimes v_{\varepsilon_{2}} \mapsto R_{\varepsilon_{1}^{\prime} \varepsilon_{2}^{\prime}}^{\varepsilon_{1} \varepsilon_{2}} v_{\varepsilon_{1}^{\prime}} \otimes v_{\varepsilon_{2}^{\prime}}
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Here $v_{\varepsilon}$ is the natural basis in $V=\mathbb{C}^{2}$.

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Here $v_{\varepsilon}$ is the natural basis in $V=\mathbb{C}^{2}$. Consider the tensor product $V_{1} \otimes V_{2} \otimes \cdots \otimes V_{k}$ of identical spaces $V_{i} \simeq V$. Let $R_{i j}$ is the $R$ matrix acting on $V_{i} \otimes V_{j}$.

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Then the transfer matrix can be written as

$$
\begin{equation*}
T=\operatorname{tr}_{V_{0}}\left(R_{0 N} \ldots R_{02} R_{01}\right): V_{1} \otimes V_{2} \otimes \cdots \otimes V_{N} \rightarrow V_{1} \otimes V_{2} \otimes \cdots \otimes V_{N} \tag{2}
\end{equation*}
$$

The space $V_{1} \otimes \cdots \otimes V_{N}$ is called quantum space, while the space $V_{0}$ is called auxiliary space.

The operator under the trace is

$$
\begin{equation*}
L=R_{0 N} \cdots R_{02} R_{01}: V_{0} \otimes V_{1} \otimes \cdots \otimes V_{N} \rightarrow V_{0} \otimes V_{1} \otimes \cdots \otimes V_{N} \tag{3}
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\end{equation*}
$$

We will consider it as an operator in the quantum space and a matrix in the auxiliary space

$$
L=\left(\begin{array}{ll}
A & B \\
C & D
\end{array}\right), \quad A, B, C, D: V_{1} \otimes V_{2} \otimes \cdots \otimes V_{N} \rightarrow V_{1} \otimes V_{2} \otimes \cdots \otimes V_{N}
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$$

Then

$$
\begin{equation*}
T=\operatorname{tr}_{V_{0}} L=A+D \tag{4}
\end{equation*}
$$

## Commuting transfer matrices and Yang-Baxter equation

Integrability demands the existence of extra commuting integrals of motion $I_{n}$ :

$$
\left[T, I_{n}\right]=0, \quad\left[I_{m}, I_{n}\right]=0
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## Theorem

If there exist nondegenerate matrices $R^{\prime}, R^{\prime \prime}$ such that

$$
\begin{equation*}
R_{12}^{\prime \prime} R_{13}^{\prime} R_{23}=R_{23} R_{13}^{\prime} R_{12}^{\prime \prime} \tag{5}
\end{equation*}
$$

or, graphically

then

$$
\begin{equation*}
\left[T, T^{\prime}\right]=0 \tag{6}
\end{equation*}
$$

Commuting transfer matrices: a proof
A graphical proof:

$$
T^{\prime} T=
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A more conventional proof is based on the relation

$$
R_{12}^{\prime \prime} L_{1}^{\prime} L_{2}=L_{2} L_{1}^{\prime} R_{12}^{\prime \prime},
$$

which is proved by induction.

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which is proved by induction. Then

$$
\begin{aligned}
T^{\prime} T & =\operatorname{tr}_{V_{1} \otimes V_{2}}\left(L_{1}^{\prime} L_{2}\right)=\operatorname{tr}_{V_{1} \otimes V_{2}}\left(\left(R_{12}^{\prime \prime}\right)^{-1} R_{12}^{\prime \prime} L_{1}^{\prime} L_{2}\right)=\operatorname{tr}_{V_{1} \otimes V_{2}}\left(\left(R_{12}^{\prime \prime}\right)^{-1} L_{2} L_{1}^{\prime} R_{12}^{\prime \prime}\right) \\
& =\operatorname{tr}_{V_{1} \otimes V_{2}}\left(R_{12}^{\prime \prime}\left(R_{12}^{\prime \prime}\right)^{-1} L_{2} L_{1}^{\prime}\right)=\operatorname{tr}_{V_{1} \otimes V_{2}}\left(L_{2} L_{1}^{\prime}\right)=T T^{\prime} .
\end{aligned}
$$

The solution can be found in the form

$$
\begin{align*}
R & =R\left(\lambda, u_{2}-u_{3}\right) \\
R^{\prime} & =R\left(\lambda, u_{1}-u_{3}\right)  \tag{8}\\
R^{\prime \prime} & =R\left(\lambda, u_{1}-u_{2}\right)
\end{align*}
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with a given matrix-valued function $R(\lambda, u)$.

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with a given matrix-valued function $R(\lambda, u)$. Since the common factor of $a, b, c$ is arbitrary, assume $a(\lambda, u)=1$. Trigonometric solution(s):

$$
\begin{aligned}
& b(\lambda, u)=\frac{\sin u}{\sin (\lambda-u)} \\
& c(\lambda, u)=\frac{\sin \lambda}{\sin (\lambda-u)} \\
& (a<b+c, b<a+c, c<a+b)
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$$
\left.\begin{array}{c}
-\cos \lambda  \tag{9}\\
-\operatorname{ch} \lambda
\end{array}\right\}=\Delta \equiv \frac{a^{2}+b^{2}-c^{2}}{2 a b}
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R & =R\left(\lambda, u_{2}-u_{3}\right), \\
R^{\prime} & =R\left(\lambda, u_{1}-u_{3}\right),  \tag{8}\\
R^{\prime \prime} & =R\left(\lambda, u_{1}-u_{2}\right)
\end{align*}
$$

with a given matrix-valued function $R(\lambda, u)$. Since the common factor of $a, b, c$ is arbitrary, assume $a(\lambda, u)=1$. Trigonometric solution(s):

$$
\begin{array}{rlrl}
b(\lambda, u) & =\frac{\sin u}{\sin (\lambda-u)}, & b(\lambda, u) & =\frac{\operatorname{sh} u}{\operatorname{sh}(\lambda-u)} \\
c(\lambda, u) & =\frac{\sin \lambda}{\sin (\lambda-u)} & c(\lambda, u) & =\frac{\operatorname{sh} \lambda}{\operatorname{sh}(\lambda-u)} \\
(a<b+c, b<a+c, c<a+b) ; & (c & >a+b) .
\end{array}
$$

The cases $a>b+c$ and $b>a+c$ and not interesting from the thermodynamic point of view and will be discussed later. The parameter $\lambda$ is the same for $R, R^{\prime}, R^{\prime \prime}$ and can be expressed as

$$
\left.\begin{array}{c}
-\cos \lambda  \tag{9}\\
-\operatorname{ch} \lambda
\end{array}\right\}=\Delta \equiv \frac{a^{2}+b^{2}-c^{2}}{2 a b}
$$

Thus we will omit the parameter $\lambda$ from now on:

$$
R(u) \equiv R(\lambda, u), a(u) \equiv a(\lambda, u) \text { etc. }
$$

## Yang-Baxter equation: spectral parameter

The spectral parameters can be associated to lines:

$$
R(\lambda, u-v)_{\varepsilon_{1} \varepsilon_{2}}^{\varepsilon_{3} \varepsilon_{4}}=\varepsilon_{2}<\underbrace{\stackrel{\varepsilon_{3}}{\gtrless} \varepsilon_{4}, \varepsilon_{1}}_{\varepsilon_{1}}
$$

## Yang-Baxter equation: spectral parameter

The spectral parameters can be associated to lines:


This $R$ matrix is the solution to the Yang-Baxter equation in the form

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\begin{align*}
& R_{12}\left(\lambda, u_{1}-u_{2}\right) R_{13}\left(\lambda, u_{1}-u_{3}\right) R_{23}\left(\lambda, u_{2}-u_{3}\right) \\
& \quad=R_{23}\left(\lambda, u_{2}-u_{3}\right) R_{13}\left(\lambda, u_{1}-u_{3}\right) R_{12}\left(\lambda, u_{1}-u_{2}\right) \tag{10}
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Besides, the $R$ matrix satisfy the relations

$$
\begin{equation*}
b(u) R(\lambda-u)_{\varepsilon_{1} \varepsilon_{2}}^{\varepsilon_{3} \varepsilon_{4}}=R(u)_{\varepsilon_{4}-\varepsilon_{1}}^{\varepsilon_{2}-\varepsilon_{3}}, \quad R_{12}(u) R_{21}(-u)=1, \quad R(0)=P=\downarrow . \tag{11}
\end{equation*}
$$

We have

$$
\begin{equation*}
\left[T(u), T\left(u^{\prime}\right)\right]=0 \quad \forall u, u^{\prime} . \tag{12}
\end{equation*}
$$

But not all the integrals of motion $T(u)$ are independent.

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Then decompose the product $T^{-1}(0) T(u)$ in $u$ :

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\begin{equation*}
T^{-1}(0) T(u)=1-\sum_{n=1}^{\infty} \frac{H_{n} u^{n}}{n!} \tag{14}
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Hamiltonians $H_{n}$ commute with $T(u)$ and mutually commute:

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\left[T(0), H_{n}\right]=\left[H_{m}, H_{n}\right]=0 \quad \forall m, n . \tag{15}
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The set $T(0), H_{1}, \ldots, H_{N-1}$ form a set of independent integrals of motion.

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The set $T(0), H_{1}, \ldots, H_{N-1}$ form a set of independent integrals of motion. Operators $H_{n}$ are local in the sense that each of them is a sum of term, which involves a finite number $(n+1)$ of neighboring nodes.

Let us find the Hamiltonian $H_{1}$ explicitly:

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where

$$
\check{R}(u)=P R(u)=\left(\begin{array}{cccc}
a(u) & & & \\
& c(u) & b(u) & \\
& b(u) & c(u) & \\
& & & a(u)
\end{array}\right)=1+\frac{u}{\sin \lambda}\left(\begin{array}{cccc}
0 & & & \\
& \cos \lambda & 1 & \\
& 1 & \cos \lambda & \\
& & & 0
\end{array}\right)+O\left(u^{2}\right)
$$

We have

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\check{R}(u)=1-\frac{1}{\sin \lambda}\left(h+\frac{\cos \lambda}{2}\right) u+O\left(u^{2}\right)
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where $H_{\mathrm{XXZ}}$ is the Hamiltonian of the XXZ Heisenberg chain:

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\begin{equation*}
H_{\mathrm{XXZ}}=-\frac{1}{2} \sum_{n=1}^{N}\left(\sigma_{n}^{x} \sigma_{n+1}^{x}+\sigma_{n}^{y} \sigma_{n+1}^{y}+\Delta \sigma_{n}^{z} \sigma_{n+1}^{z}\right) \tag{16}
\end{equation*}
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with $\Delta$ given by (9):

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$$

This leads to the identification of the space $\mathcal{H}_{N}$ and the quantum space of the sixvertex model:

$$
\mathcal{H}_{N}=\underbrace{V \otimes \cdots \otimes V}, \quad v_{ \pm}=| \pm\rangle .
$$

Due to the ice condition the $z$ component of total spin

$$
S^{z}=\frac{1}{2} \sum_{i=1}^{N} \sigma_{n}^{z}
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is a conserved charge:

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\left[T(u), S^{z}\right]=\left[H_{\mathrm{XXZ}}, S^{z}\right]=0 \tag{17}
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Recall that

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S^{z}\left|\Omega_{ \pm}\right\rangle= \pm \frac{N}{2}\left|\Omega_{ \pm}\right\rangle, \quad H_{\mathrm{XXZ}}\left|\Omega_{ \pm}\right\rangle=-\frac{N \Delta}{2}\left|\Omega_{ \pm}\right\rangle
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& +\leftarrow^{\varepsilon}+ \\
& +\leftarrow_{\varepsilon}^{\varepsilon}+ \\
& \\
& +
\end{aligned}
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Finally,

$$
T(u)\left|\Omega_{ \pm}\right\rangle=\left(a^{N}(u)+b^{N}(u)\right)\left|\Omega_{ \pm}\right\rangle
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1. Ferroelectric regime: $\Delta>0$. Let $a>b+c$. Ground configurations:

and

2. Ferroelectric regime: $\Delta>0$. Let $a>b+c$. Excitations?

3. Ferroelectric regime: $\Delta>0$. Let $a>b+c$. Excitations:

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On a large lattice any excitations have vanishing weight. $\Rightarrow$ Frozen order.

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3. Disordered regime: $|\Delta|<1$. No ground configurations. It turns out that this regime is always critical.

