# Lecture 4. <br> $O(3)$-model: mass generation by instantons 

Michael Lashkevich

## $O(3)$-model: topology of $\boldsymbol{n}$-field

Consider the $O(3)$-model on the Euclidean plane:

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\begin{equation*}
S[\boldsymbol{n}]=\frac{1}{2 g} \int d^{2} x\left(\partial_{\mu} \boldsymbol{n}\right)^{2}, \quad n_{1}^{2}+n_{2}^{2}+n_{3}^{2}=1 . \tag{1}
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We will be interested in the functions $\boldsymbol{n}(x)$ with finite action. They must be constant at infinity:

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\begin{equation*}
\boldsymbol{n}_{0}(x) \underset{x \rightarrow \infty}{\rightarrow} \boldsymbol{n}_{0} \tag{2}
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Examples. Let $(\theta, \phi)$ are spherical coordinates on $S^{2}$ and $\left(\theta^{\prime}, \phi^{\prime}\right)$ are those on $S^{2 \prime}$. Define the mapping

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In generic coordinates $\left(x^{1}, x^{2}\right)$ on $S^{2}$ and ( $x^{\prime 1}, x^{\prime 2}$ ) on $S^{2 \prime}$. Define any metric $g^{\prime}$ on the target sphere, such that

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is finite. Then

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q=\frac{1}{S} \int_{x^{\prime}\left(S^{2}\right)} d^{2} x^{\prime} \sqrt{g^{\prime}}=\frac{1}{S} \int_{S^{2}} d^{2} x \frac{\partial\left(x^{\prime}\right)}{\partial(x)} \sqrt{g^{\prime}}
$$

Assuming the spherical coordinates on $S^{2 \prime}$ with the standard metric:

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q=\frac{1}{4 \pi} \int_{0}^{2 \pi} d \varphi \int_{0}^{\pi} d \theta \frac{\partial\left(\theta^{\prime}, \varphi^{\prime}\right)}{\partial(\theta, \varphi)} \sin \theta^{\prime}=\frac{1}{4 \pi} \int d^{2} x \frac{\partial\left(\theta^{\prime}, \varphi^{\prime}\right)}{\partial\left(x^{1}, x^{2}\right)} \sin \theta^{\prime}
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\begin{equation*}
\boldsymbol{n}=\left(\sin \theta^{\prime} \cos \varphi^{\prime}, \sin \theta^{\prime} \sin \varphi^{\prime}, \cos \theta^{\prime}\right) \tag{5}
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It can be checked by a direct calculation that

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\begin{equation*}
\frac{1}{2} \boldsymbol{n}\left(\partial_{\mu} \boldsymbol{n} \times \partial_{\nu} \boldsymbol{n}\right) \epsilon^{\mu \nu}=\frac{\partial\left(\theta^{\prime}, \varphi^{\prime}\right)}{\partial\left(x^{1}, x^{2}\right)} \sin \theta^{\prime} \tag{6}
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Hence,

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It can be proved in a simple way. $\boldsymbol{a}=\partial_{1} \boldsymbol{n} d x^{1}$ and $\boldsymbol{b}=\partial_{2} \boldsymbol{n} d x^{2}$ are small vectors on the sphere.

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It can be proved in a simple way. $\boldsymbol{a}=\partial_{1} \boldsymbol{n} d x^{1}$ and $\boldsymbol{b}=\partial_{2} \boldsymbol{n} d x^{2}$ are small vectors on the sphere. The element $d f$ of the surface in the parallelogram $(\boldsymbol{a}, \boldsymbol{b})$ is

$$
d f= \pm|\boldsymbol{a} \times \boldsymbol{b}|=\boldsymbol{n}(\boldsymbol{a} \times \boldsymbol{b})=\boldsymbol{n}\left(\partial_{1} \boldsymbol{n} d x^{1} \times \partial_{2} \boldsymbol{n} d x^{2}\right)=\frac{1}{2} \boldsymbol{n}\left(\partial_{\mu} \boldsymbol{n} \times \partial_{\nu} \boldsymbol{n}\right) \epsilon^{\mu \nu} d x^{1} d x^{2} .
$$



## Self-duality equations

From the identity

$$
\begin{equation*}
\int d^{2} x\left(\partial_{\mu} \boldsymbol{n}+\epsilon_{\mu \nu} \boldsymbol{n} \times \partial^{\nu} \boldsymbol{n}\right)^{2}=2 \int d^{2} x\left(\partial_{\mu} \boldsymbol{n}\right)^{2}-2 \int d^{2} x \boldsymbol{n}\left(\partial_{\mu} \boldsymbol{n} \times \partial_{\nu} \boldsymbol{n}\right) \epsilon^{\mu \nu} \tag{8}
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S[\boldsymbol{n}]=\frac{4 \pi q}{g}+\frac{1}{4 g} \int d^{2} x\left(\partial_{\mu} \boldsymbol{n}+\epsilon_{\mu \nu} \boldsymbol{n} \times \partial^{\nu} \boldsymbol{n}\right)^{2} . \tag{9}
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The equality in (11) is achieved, if one of the self-duality equations is satisfied:

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\begin{array}{ll}
\partial_{\mu} \boldsymbol{n}=-\epsilon_{\mu \nu} \boldsymbol{n} \times \partial^{\nu} \boldsymbol{n} & \\
\partial_{\mu} \boldsymbol{n}=\epsilon_{\mu \nu} \boldsymbol{n} \times \partial^{\nu} \boldsymbol{n} &  \tag{13}\\
(q<0) .
\end{array}
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\begin{align*}
\partial_{\mu} \boldsymbol{n} & =-\epsilon_{\mu \nu} \boldsymbol{n} \times \partial^{\nu} \boldsymbol{n} & & (q>0)  \tag{12}\\
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\end{align*}
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These are first-order differential equations. Every their solution is a solution to the equations of motion, but not vice versa.

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\begin{array}{ll}
\bar{\partial} w=0 & (q>0), \\
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\begin{equation*}
w(n, \vec{a}, \vec{b}, c ; z)=c \prod_{j=1}^{n} \frac{z-a_{j}}{z-b_{j}} \tag{17}
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\bar{\partial} w=0 & (q>0), \\
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\end{array}
$$

Take, for example, $q>0$. A regular solution around $\boldsymbol{n}=\boldsymbol{e}_{3}$ corresponds to a simple node of $w \simeq \frac{n_{1}+i n_{2}}{2}$, while a regular solution around $\boldsymbol{n}=-\boldsymbol{e}_{3}$ corresponds to a simple pole of $w \simeq \frac{2}{n_{1}-i n_{2}}$. Hence, the general solution is

$$
\begin{equation*}
w(n, \vec{a}, \vec{b}, c ; z)=c \prod_{j=1}^{n} \frac{z-a_{j}}{z-b_{j}} \tag{17}
\end{equation*}
$$

The values $a_{j}, b_{j} \in \mathbb{C} \cup\{\infty\}$, but $a_{i} \neq b_{j}(\forall i, j)$.

Let $w_{0}$ be large enough and generic. Then the equation $w(n, \vec{a}, \vec{b}, c ; z)=w_{0}$ has exactly $n$ solutions.

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## Solutions to the self-duality equations

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Similarly, for $q<0$ we have

$$
\begin{equation*}
w(q, \vec{a}, \vec{b}, c ; \bar{z})=c \prod_{j=1}^{-q} \frac{\bar{z}-a_{j}}{\bar{z}-b_{j}} \tag{18}
\end{equation*}
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Discuss the calculation of the functional integral.

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\begin{align*}
S[w, \bar{w}] & =\frac{4 \pi q}{g}+\frac{8}{g} \int d^{2} x \frac{\bar{\partial} w \partial \bar{w}}{\left(1+|w|^{2}\right)^{2}}  \tag{19}\\
& =-\frac{4 \pi q}{g}+\frac{8}{g} \int d^{2} x \frac{\partial w \bar{\partial} \bar{w}}{\left(1+|w|^{2}\right)^{2}} \tag{20}
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Suppose $q \geq 0$. Let

$$
\begin{equation*}
S_{q}[\varphi, \bar{\varphi}]=S\left[w(q, \vec{a}, \vec{b}, c ; z)(1+\varphi(z, \bar{z})), w^{*}(q, \vec{a}, \vec{b}, c ; z)(1+\bar{\varphi}(z, \bar{z}))\right] \tag{21}
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It is easy to see that

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S_{q}[\varphi, \bar{\varphi}]=\frac{4 \pi q}{g}+\frac{8}{g} \int d^{2} x \frac{|w|^{2}}{\left(1+|w|^{2}\right)^{2}} \bar{\partial} \varphi \partial \bar{\varphi} \tag{22}
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in the quadratic approximation. The $q$-instanton action is

$$
\begin{align*}
Z_{q} & =\frac{e^{-4 \pi q / g}}{(q!)^{2}} \int d \mu(\vec{a}, \vec{b}, c) Z[w(q, \vec{a}, \vec{b}, c ; z)] \\
Z[w] & =\int D \varphi D \bar{\varphi} \exp \left(-\frac{8}{g} \int d^{2} x \frac{|w|^{2}}{\left(1+|w|^{2}\right)^{2}} \bar{\partial} \varphi \partial \bar{\varphi}\right) \tag{23}
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with a conformal invariant measure $\mu$.

The only conformal invariant measure is

$$
\begin{equation*}
\mu(\vec{a}, \vec{b}, c)=k^{q} \frac{d^{2} c}{|c|^{2}} \prod_{j=1}^{q} d^{2} a_{j} d^{2} b_{j} \prod_{i<j}\left|a_{i}-a_{j}\right|^{4}\left|b_{i}-b_{j}\right|^{4} \prod_{i, j}\left|a_{i}-b_{j}\right|^{-4} \tag{24}
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Z[w] \sim f(c) \prod_{i<j}\left|a_{i}-a_{j}\right|^{-4 \alpha}\left|b_{i}-b_{j}\right|^{-4 \alpha} \prod_{i \neq j}\left|a_{i}-b_{j}\right|^{4 \alpha} .
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A rather complex calculation results in $\alpha=1 / 2$ and $f(c)=|c|^{2} /\left(1+|c|^{2}\right)^{2}$, so the integral over $c$ gives just a finite factor. Thus we have

$$
\begin{equation*}
Z_{q} \sim \frac{\lambda^{q}}{(q!)^{2}} \int \prod_{j=1}^{q} d^{2} a_{j} d^{2} b_{j} \prod_{i<j}\left|a_{i}-a_{j}\right|^{2}\left|b_{i}-b_{j}\right|^{2} \prod_{i, j}\left|a_{i}-b_{j}\right|^{-2} \tag{25}
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$$

where $\lambda \sim e^{-4 \pi / g}$.

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\begin{equation*}
S_{\theta}(\boldsymbol{n})=S(\boldsymbol{n})+i \theta q=\frac{1}{2 g} \int d^{2} x\left(\partial_{\mu} \boldsymbol{n}\right)^{2}+i \frac{\theta}{8 \pi} \int d^{2} x \boldsymbol{n}\left(\partial_{\mu} \boldsymbol{n} \times \partial_{\nu} \boldsymbol{n}\right) \epsilon^{\mu \nu} . \tag{26}
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In particular, it is known that for $\theta=\pi$ the $O(3)$-model is massless, but not scaleinvariant. For $\theta \neq 0, \pi(\bmod 2 \pi)$ the theory does not seem to be exactly solvable.

