# Lecture 4. O(3)-model: mass generation by instantons

Michael Lashkevich

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Consider the O(3)-model on the Euclidean plane:

$$S[\mathbf{n}] = \frac{1}{2g} \int d^2 x \, (\partial_\mu \mathbf{n})^2, \qquad n_1^2 + n_2^2 + n_3^2 = 1. \tag{1}$$

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We will be interested in the functions n(x) with finite action. They must be constant at infinity:

$$\boldsymbol{n}_0(x) \underset{x \to \infty}{\to} \boldsymbol{n}_0.$$
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$$\boldsymbol{n}: S^2 \to S^{2\prime}.\tag{3}$$

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$$\theta' = \theta, \qquad \varphi' = q\varphi, \qquad q \in \mathbb{Z}.$$
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is finite. Then

$$q = \frac{1}{S} \int_{x'(S^2)} d^2 x' \sqrt{g'} = \frac{1}{S} \int_{S^2} d^2 x \frac{\partial(x')}{\partial(x)} \sqrt{g'}.$$

Assuming the spherical coordinates on  $S^{2\prime}$  with the standard metric:

$$q = \frac{1}{4\pi} \int_0^{2\pi} d\varphi \int_0^{\pi} d\theta \, \frac{\partial(\theta',\varphi')}{\partial(\theta,\varphi)} \sin\theta' = \frac{1}{4\pi} \int d^2x \, \frac{\partial(\theta',\varphi')}{\partial(x^1,x^2)} \sin\theta'.$$

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It can be checked by a direct calculation that

$$\frac{1}{2}\boldsymbol{n}\left(\partial_{\mu}\boldsymbol{n}\times\partial_{\nu}\boldsymbol{n}\right)\epsilon^{\mu\nu} = \frac{\partial(\theta',\varphi')}{\partial(x^{1},x^{2})}\sin\theta'.$$
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$$df = \pm |\boldsymbol{a} \times \boldsymbol{b}| = \boldsymbol{n}(\boldsymbol{a} \times \boldsymbol{b}) = \boldsymbol{n}(\partial_1 \boldsymbol{n} \, dx^1 \times \partial_2 \boldsymbol{n} \, dx^2) = \frac{1}{2} \boldsymbol{n} \left(\partial_\mu \boldsymbol{n} \times \partial_\nu \boldsymbol{n}\right) \epsilon^{\mu\nu} \, dx^1 \, dx^2.$$



$$\int d^2 x \, (\partial_\mu \boldsymbol{n} + \epsilon_{\mu\nu} \boldsymbol{n} \times \partial^\nu \boldsymbol{n})^2 = 2 \int d^2 x \, (\partial_\mu \boldsymbol{n})^2 - 2 \int d^2 x \, \boldsymbol{n} \, (\partial_\mu \boldsymbol{n} \times \partial_\nu \boldsymbol{n}) \epsilon^{\mu\nu} \quad (8)$$

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The equality in (11) is achieved, if one of the self-duality equations is satisfied:

$$\partial_{\mu}\boldsymbol{n} = -\epsilon_{\mu\nu}\boldsymbol{n} \times \partial^{\nu}\boldsymbol{n} \qquad (q > 0), \tag{12}$$

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These are first-order differential equations. Every their solution is a solution to the equations of motion, but not vice versa.

The stereographic projection:



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$$w(n, \vec{a}, \vec{b}, c; z) = c \prod_{j=1}^{n} \frac{z - a_j}{z - b_j},$$
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The values  $a_j, b_j \in \mathbb{C} \cup \{\infty\}$ , but  $a_i \neq b_j \ (\forall i, j)$ .

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Similarly, for q < 0 we have

$$w(q, \vec{a}, \vec{b}, c; \bar{z}) = c \prod_{j=1}^{-q} \frac{\bar{z} - a_j}{\bar{z} - b_j},$$
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$$S[w,\bar{w}] = \frac{4\pi q}{g} + \frac{8}{g} \int d^2x \, \frac{\bar{\partial}w \partial\bar{w}}{(1+|w|^2)^2} \tag{19}$$

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Suppose  $q \ge 0$ . Let

$$S_q[\varphi,\bar{\varphi}] = S[w(q,\vec{a},\vec{b},c;z)(1+\varphi(z,\bar{z})), w^*(q,\vec{a},\vec{b},c;z)(1+\bar{\varphi}(z,\bar{z}))].$$
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It is easy to see that

$$S_q[\varphi,\bar{\varphi}] = \frac{4\pi q}{g} + \frac{8}{g} \int d^2x \, \frac{|w|^2}{(1+|w|^2)^2} \bar{\partial}\varphi \partial\bar{\varphi} \tag{22}$$

in the quadratic approximation.

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Discuss the calculation of the functional integral. Let  $w(z,\bar{z})$  be an arbitrary function. Then the action reads

$$S[w,\bar{w}] = \frac{4\pi q}{g} + \frac{8}{g} \int d^2x \, \frac{\bar{\partial}w \partial\bar{w}}{(1+|w|^2)^2} \tag{19}$$

$$= -\frac{4\pi q}{g} + \frac{8}{g} \int d^2x \, \frac{\partial w \bar{\partial} \bar{w}}{(1+|w|^2)^2}.$$
 (20)

Suppose  $q \ge 0$ . Let

$$S_q[\varphi,\bar{\varphi}] = S[w(q,\vec{a},\vec{b},c;z)(1+\varphi(z,\bar{z})), w^*(q,\vec{a},\vec{b},c;z)(1+\bar{\varphi}(z,\bar{z}))].$$
(21)

It is easy to see that

$$S_q[\varphi,\bar{\varphi}] = \frac{4\pi q}{g} + \frac{8}{g} \int d^2x \, \frac{|w|^2}{(1+|w|^2)^2} \bar{\partial}\varphi \partial\bar{\varphi} \tag{22}$$

in the quadratic approximation. The q-instanton action is

$$Z_q = \frac{e^{-4\pi q/g}}{(q!)^2} \int d\mu(\vec{a}, \vec{b}, c) Z[w(q, \vec{a}, \vec{b}, c; z)],$$

$$Z[w] = \int D\varphi D\bar{\varphi} \exp\left(-\frac{8}{g} \int d^2x \frac{|w|^2}{(1+|w|^2)^2} \bar{\partial}\varphi \partial\bar{\varphi}\right)$$
(23)

with a conformal invariant measure  $\mu$ .

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$$\mu(\vec{a}, \vec{b}, c) = k^q \frac{d^2 c}{|c|^2} \prod_{j=1}^q d^2 a_j d^2 b_j \prod_{i< j} |a_i - a_j|^4 |b_i - b_j|^4 \prod_{i,j} |a_i - b_j|^{-4}$$
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$$Z[w] \to Z[w'] \prod_{j=1}^{q} \left| \frac{da'_{j}}{da_{j}} \right|^{2\alpha} \left| \frac{db'_{j}}{db_{j}} \right|^{2\alpha}$$

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$$Z[w] \sim f(c) \prod_{i < j} |a_i - a_j|^{-4\alpha} |b_i - b_j|^{-4\alpha} \prod_{i \neq j} |a_i - b_j|^{4\alpha}.$$

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$$Z_q \sim \frac{\lambda^q}{(q!)^2} \int \prod_{j=1}^q d^2 a_j \, d^2 b_j \, \prod_{i< j} |a_i - a_j|^2 |b_i - b_j|^2 \prod_{i,j} |a_i - b_j|^{-2}, \qquad (25)$$

where  $\lambda \sim e^{-4\pi/g}$ .

The total partition function  $Z = \sum_{q \in \mathbb{Z}} Z_q$  formally coincides with the partition function of the sine-Gordon model with  $\beta^2 = 1$ , i.e. with the partition function of massive free fermions.

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